

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): John C. Adams

Serial No.:

Examiner: Not Yet Determined

Filing Date: HEREWITH

Group Art Unit:

Title: DOCUMENT BUILDER CLASSES AND METHODS

10971 U.S. PTO  
09/773121  
01/31/01

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL633879915US

Date of Deposit 1/31/01

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By

Typed Name: Lanae L. Schlitt

Respectfully submitted,

John C. Adams

By

T. Grant Ritz

Attorney/Agent for Applicant(s)  
Reg. No. 39,819

Date: 1-29-01

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10006660-1

SERIAL NO.

APPLICANT

John C. ADAMS

FILING DATE

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GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME          | CLASS | SUB<br>CLASS |
|---------------------|----|--------------------|----------|---------------|-------|--------------|
|                     | 1A | 5,940,834          | 08/17/99 | PINARD ET AL. | 707   | 102          |
|                     | 1B | 6,003,077          | 12/14/00 | BAWDEN ET AL. | 709   | 223          |
|                     | 1C |                    |          |               |       |              |
|                     | 1D |                    |          |               |       |              |
|                     | 1E |                    |          |               |       |              |
|                     | 1F |                    |          |               |       |              |
|                     | 1G |                    |          |               |       |              |
|                     | 1H |                    |          |               |       |              |
|                     | 1I |                    |          |               |       |              |
|                     | 1J |                    |          |               |       |              |
|                     | 1K |                    |          |               |       |              |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | TRANSLATION |    |
|--|----|--------------------|------|------|-------|--------------|-------------|----|
|  |    |                    |      |      |       |              | YES         | NO |
|  | 1L |                    |      |      |       |              |             |    |
|  | 1M |                    |      |      |       |              |             |    |
|  | 1N |                    |      |      |       |              |             |    |
|  | 1O |                    |      |      |       |              |             |    |
|  | 1P |                    |      |      |       |              |             |    |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 1Q |  |
|  | 1R |  |
|  | 1S |  |

EXAMINER

DATE CONSIDERED